

06-18-01

PTO/SB/05 (11-00)

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J1050  
U.S.  
PTO  
10/15/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MICHEJDA 4-6

First Inventor John A. Michejda

Title A SEMICONDUCTOR DEVICE HAVING A GHOST SOURCE/DRAIN REGION AND A METHOD OF MANUFACTURE THEREFOR

Express Mail Label No. EL344526487US

JG971  
09/08/2001  
06/15/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [ Total Pages 34 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings ( if filed )
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 21 ]
5. Oath or Declaration [ Total Pages 6 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program ( Appendix )
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation    Divisional    Continuation-in-part (CIP)

of prior application No.: .....

Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	27964 (Insert Customer Number or Attach bar code label here)		or	<input checked="" type="checkbox"/> Correspondence address below
Name	Greg H. Parker			
Address	Hitt Gaines & Boisbrun, P.C.			
	P.O. Box 832570			
City	Richardson	State	Texas	Zip Code
Country		Telephone	(972) 480-8800	Fax
Name (Print/Type)	Greg H. Parker		Registration No. (Attorney/Agent)	44,995
Signature			Date	06/15/2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

750.00

## Complete if Known

Application Number	N/A
Filing Date	Herewith
First Named Inventor	John A. Michejda
Examiner Name	N/A
Group Art Unit	N/A
Attorney Docket No.	MICHEJDA 4-6

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **501735**  
Deposit Account Name **Agere Systems Guardian Corporation**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	<b>710.00</b>
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ **710.00**)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	20	-20** = 0	<b>0.00</b>
Multiple Dependent	3	- 3** = 0	<b>0.00</b>

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
103	18	203	9
			Claims in excess of 20
102	80	202	40
			Independent claims in excess of 3
104	270	204	135
			Multiple dependent claim, if not paid
109	80	209	40
			** Reissue independent claims over original patent
110	18	210	9
			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ **0.00**)

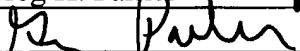
\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)
105	130	205	65
			Surcharge - late filing fee or oath
127	50	227	25
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
			Non-English specification
147	2,520	147	2,520
			For filing a request for ex parte reexamination
112	920*	112	920*
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
			Extension for reply within first month
116	390	216	195
			Extension for reply within second month
117	890	217	445
			Extension for reply within third month
118	1,390	218	695
			Extension for reply within fourth month
128	1,890	228	945
			Extension for reply within fifth month
119	310	219	155
			Notice of Appeal
120	310	220	155
			Filing a brief in support of an appeal
121	270	221	135
			Request for oral hearing
138	1,510	138	1,510
			Petition to institute a public use proceeding
140	110	240	55
			Petition to revive - unavoidable
141	1,240	241	620
			Petition to revive - unintentional
142	1,240	242	620
			Utility issue fee (or reissue)
143	440	243	220
			Design issue fee
144	600	244	300
			Plant issue fee
122	130	122	130
			Petitions to the Commissioner
123	130	123	130
			Petitions related to provisional applications
126	180	126	180
			Submission of Information Disclosure Stmt
581	40	581	40
			Recording each patent assignment per property (times number of properties)
146	710	246	355
			Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355
			For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355
			Request for Continued Examination (RCE)
169	900	169	900
			Request for expedited examination of a design application
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$ <b>40.00</b> )			

## SUBMITTED BY

Name (Print/Type)	Greg H. Parker	Registration No. (Attorney/Agent)	44,995	Telephone	(972) 480-8800
Signature				Date	06/15/2001

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